

PTO/SB/08A (08-03)



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/684,975
Filing Date	October 14, 2003
First Named Inventor	David Alford Burgoon
Art Unit	
Examiner Name	
Attorney Docket Number	BAT 0072 PA/40078.248

Sheet	3	of	8
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## U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
DG		US- 5,890,150	03/30/1999	Ushijima et al.	
		US- 5,890,151	03/30/1999	Agrawal et al.	
		US- 5,893,090	04/05/1999	Friedman et al.	
		US- 5,946,692	08/31/1999	Faloutsos et al.	
		US- 5,956,707	09/21/1999	Chu	
		US- 5,987,467	11/16/1999	Ross et al.	
		US- 5,999,928	12/07/1999	Yan	
		US- 6,012,064	01/04/2000	Gibbons et al.	
		US- 6,026,390	02/15/2000	Ross et al.	
		US- 6,026,391	02/15/2000	Osborn et al.	
		US- 6,032,144	02/29/2000	Srivastava et al.	
		US- 6,052,689	04/18/2000	Muthukrishnan et al.	
		US- 6,065,007	05/16/2000	Muthukrishnan et al.	
		US- 6,092,072	08/18/2000	Guha et al.	
		US- 6,108,647	08/22/2000	Poosala et al.	
		US- 6,125,360	09/26/2000	Witkowski et al.	
		US- 6,134,543	10/17/2000	Witkowski et al.	
		US- 6,175,836	01/16/2001	Aldred	
		US- 6,240,407	05/29/2001	Chang et al.	

## FOREIGN PATENT DOCUMENTS

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**Examiner  
Signature**

Agnt

Date \_\_\_\_\_

**Considered**

5/22/06

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

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Attorney Docket Number	BAT 0072 PA/40078.248

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		Number-Kind Code <sup>2</sup> (if known)			
D6 ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		US- 2002/0178151	11/28/2002	Chaudhuri et al.	
		US- 2002/0184211	12/05/2002	Gruenwald	
		US- 6,493,699	12/10/2002	Colby et al.	
		US- 6,507,840	01/14/2003	Ioannidis et al.	
		US- 2003/0018615	01/23/2003	Chaudhuri et al.	
		US- 6,519,604	02/11/2003	Acharya et al.	
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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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5/22/06

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				First Named Inventor	David Alford Burgoon
				Art Unit	
				Examiner Name	
Sheet	6	of	8	Attorney Docket Number	BAT 0072 PA/40078.248

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
D6		ACHARYA et al.; Join Synopses for Approximate Query Answering; Bell Laboratories; New Jersey	
		VITTER; An Efficient Algorithm for Sequential Random Sampling; pp. 1-11	
		ZAKI et al.; Evaluation of Sampling for Data Mining of Association Rules; pp. 1-9	
		GUNOPULOS et al.; Approximating Multi-Dimensional Aggregate Range Queries Over Real Attributes; pp. 1-12	
		HAAS; Hoeffding Inequalities for Join-Selectivity Estimation and Online Aggregation; Research Report; August 1996; pp. 1-29; IBM Research Division; California	
		LING et al.; An Evaluation of Sampling-Based Size Estimation Methods for Selections in Database Systems; 1995; pp. 532-539; IEEE	
		ACHARYA et al.; Aqua: A Fast Decision Support System Using Approximate Query Answers; Proceedings of the 25th VLDB Conference; 1999; pp. 754-757; Scotland	
		AMBITE et al.; Fast Approximate Evaluation of OLAP Queries for Integrated Statistical Data; pp. 1-8	
		ABDULLA et al.; Approximate Ad-Hoc Query Engine for Simulation Data; 2001; pp. 1-2	
✓		GANTI et al.; CICLES: Self-Tuning Samples for Approximate Query Answering; Proceedings of the 26th VLDB Conference; 2000; pp. 1-12; Egypt	

Examiner Signature	<i>J. G. [Signature]</i>	Date Considered	5/22/06
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<b>Application Number</b>	10/684,975	
	<b>Filing Date</b>	October 14, 2003
	<b>First Named Inventor</b>	David Alford Burgoon
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<b>Attorney Docket Number</b>	BAT 0072 PA/40078.248	

Sheet

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DG		GIBBONS et al.; Aqua Project White Paper; 1997; pp. 1-28; New Jersey	
		GIBBONS et al.; New Sampling-Based Summary Statistics for Improving Approximate Query Answers; pp. 1-12	
		HAAS et al.; Fixed-Precision Estimation of Join Selectivity; 1993; pp. 190-201; Washington, D.C.	
		SHATKAY et al.; Approximate Queries and Representations for Large Data Sequences; 1996; pp. 536-545; IEEE	
		WALKER; On Retrieval From A Small Version Of A Large Data Base; 1980; pp. 47-54; IEEE	
		HOU et al.; Statistical Estimators for Aggregate Relational Algebra Queries; 1991; pp. 600-654; Vol. 16, No. 4; ACM Transactions on Database Systems	
		OLKEN et al.; Sampling From Spatial Databases; 1993; pp. 199-208; IEEE	
		HOU et al.; Statistical Estimators For Relational Algebra Expressions; 1988; pp. 276-287; ACM	
		KIVINEN et al.; The Power Of Sampling In Knowledge Discovery; 1994; pp. 77-85; ACM; Minnesota	

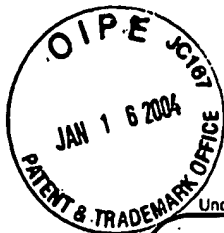
<b>Examiner Signature</b>	<i>[Signature]</i>	<b>Date Considered</b>	5/22/06
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Sheet 8 of 8

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DG		ROWE; Antisampling For Estimation: An Overview; 1985; pp. 1-20; IEEE	
		OLKEN et al.; Random Sampling From Databases - A Survey; 1994; 1-54; California	
		OLKEN et al.; Maintenance Of Materialized Views Of Sampling Queries; 1992; pp. 632-641; IEEE	
		OLKEN et al.; Simple Random Sampling From Relational Databases; Proceedings of the Twelfth International Conference on Very Large Data Bases; 1986; pp. 160-169; Kyoto	
		VRBSKY; Approximate: A Query Processor That Produces Monotonically Improving Approximate Answers; Thesis; 1993; pp. 1-124; Illinois	
		HELLERSTEIN et al.; Online Aggregation; ACM SIGMOD International Conference On Management; 1997; pp. 1-12; Arizona	
✓		DATE; An Introduction To Database Systems, Fifth Edition; 1991; pp. 152-155; Addison-Wesley Publishing Company	
DG		Informix Red Brick Decision Server; Technical Brief; pp. 1-4; 2000	

Examiner Signature		Date Considered	5/22/06
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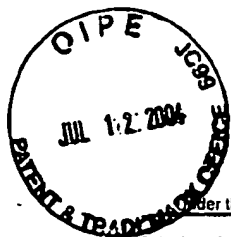
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Application Number	10/684,975
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First Named Inventor	David Alford Burgoon
Art Unit	2186
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Sheet	1	of	1
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DG		HOU, WEN-CHI: "A Framework for Statistical Data Mining with Summary Tables", SCIENTIFIC AND STATISTICAL DATABASE MANAGEMENT, 1999. ELEVENTH INTERNATIONAL CONFERENCE ON CLEVELAND, OH, USA, 28-30 JULY 1999, pgs. 14-23	

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